SECTION 12:

Government Relations

A. SUMMARY
B. DEFINITIONS
C. POLICY
D. OWNERSHIP & APPROVAL
E. REFERENCES
A. SUMMARY
Carrier engages in political activity and public policy advocacy on issues that impact Carrier’s business whether at the local, state or federal level in the United States or with foreign governments and international governmental organizations. Carrier believes that participating in the legislative and regulatory process helps protect customers, employees and businesses, and is an important part of responsible corporate citizenship.

B. DEFINITIONS
All capitalized terms not defined in this policy are defined in CPM 1: Governance and Definitions including Exhibit 1: Compliance Glossary.

C. POLICY
Carrier’s political activity and advocacy directed at United States federal and state/local governments, foreign governments, and international governmental organizations and their officials shall comply with all applicable law and is managed exclusively by Carrier’s Office of Global Government Relations.

1. Carrier’s Office of Global Government Relations (“GGR”) shall exclusively manage in accordance with all applicable Carrier Procedures & Standard Work (“CPSW”) including CPSW-12A all advocacy on legislative, regulatory, or policy matters directed at any Government (U.S. federal and state/local and non-U.S.) or international governmental organizations, including Lobbying and visits of elected officials and political candidates to Carrier facilities. All Lobbyists shall be selected, screened, retained, monitored, and managed in accordance with CPM 8 and its related procedures. Carrier’s Vice President, Global Government Relations (“VP GGR”) shall oversee all Lobbyists and Carrier employees undertaking or involved in the foregoing activities.

2. All political activity, Political Contributions, and contributions to support events sponsored by Government offices (e.g., national holiday commemorative functions) shall strictly comply with CPSW-12A.

3. All Business Gifts and Sponsored Travel offered or given by or on behalf of CARRIER to or on behalf of any Government Official shall strictly comply with CPM 8 and its related procedures.

4. All Philanthropic Donations by or on behalf of CARRIER to organizations or events sponsored or supported by, or at the direction or in honor of, any Government Official shall strictly comply with CPM 11: Social Responsibility.

5. All of the foregoing activities shall be pursued and undertaken in strict conformity with the standards articulated in Carrier’s Code of Ethics, which states: “CARRIER will comply with all national, state and local laws regulating CARRIER’s participation in political affairs, including limitations on contributions to political parties, national
political committees, and individual candidates” as well as CPM 8: Anti-Corruption and its related procedures.

D. OWNERSHIP & APPROVAL
VP GGR, in consultation with the CLO, is responsible for interpreting this Policy and reviewing it biennially.

E. REFERENCES
CPSW-12A: Government Relations Procedures & Requirements
CPM 1: Governance and Definitions
CPM 4: Global Ethics and Compliance Program
CPM 8: Anti-Corruption
CPM 11: Social Responsibility