



SECTION 12:

Government Relations

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A. SUMMARY

Carrier engages in political activity and public policy advocacy on issues that impact Carrier's business whether at the local, state or federal level in the United States or with foreign governments and international governmental organizations. Carrier believes that participating in the legislative and regulatory process helps protect customers, employees and businesses, and is an important part of responsible corporate citizenship.

B. DEFINITIONS

All capitalized terms not defined in this policy are defined in [CPM 1: Governance and Definitions](#) including [Exhibit 1: Compliance Glossary](#).

C. POLICY

Carrier's political activity and advocacy directed at United States federal and state/local governments, foreign governments, and international governmental organizations and their officials shall comply with all applicable law and is managed exclusively by Carrier's Office of Global Government Relations.

1. **Carrier's Office of Global Government Relations ("GGR")** shall exclusively manage in accordance with all applicable Carrier Procedures & Standard Work ("**CPSW**") including [CPSW-12A](#) all advocacy on legislative, regulatory, or policy matters directed at any **Government** (U.S. federal and state/local and non-U.S.) or international governmental organizations, including **Lobbying** and visits of elected officials and political candidates to **Carrier** facilities. All **Lobbyists** shall be selected, screened, retained, monitored, and managed in accordance with [CPM 8 and its related procedures](#). **Carrier's** Vice President, Global Government Relations ("**VP GGR**") shall oversee all **Lobbyists** and Carrier employees undertaking or involved in the foregoing activities.
2. All political activity, **Political Contributions**, and contributions to support events sponsored by **Government** offices (e.g., national holiday commemorative functions) shall strictly comply with [CPSW-12A](#)
3. All **Business Gifts** and **Sponsored Travel** offered or given by or on behalf of **CARRIER** to or on behalf of any **Government Official** shall strictly comply with [CPM 8 and its related procedures](#).
4. All **Philanthropic Donations** by or on behalf of **CARRIER** to organizations or events sponsored or supported by, or at the direction or in honor of, any **Government Official** shall strictly comply with [CPM 11: Social Responsibility](#).
5. All of the foregoing activities shall be pursued and undertaken in strict conformity with the standards articulated in **Carrier's** Code of Ethics, which states: "CARRIER will comply with all national, state and local laws regulating CARRIER's participation in political affairs, including limitations on contributions to political parties, national



political committees, and individual candidates” as well as [CPM 8: Anti-Corruption and its related procedures](#).

D. OWNERSHIP & APPROVAL

VP GGR, in consultation with the **CLO**, is responsible for interpreting this Policy and reviewing it biennially.

E. REFERENCES

CPSW-12A: Government Relations Procedures & Requirements

CPM 1: Governance and Definitions

CPM 4: Global Ethics and Compliance Program

CPM 8: Anti-Corruption

CPM 11: Social Responsibility